

041504

11696 U.S. PTO

## UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

7990960

22581 U.S. PTO  
10/824867

041504

## TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Kirk Tofte, entitled Principled Asset Rotation, for a(n):

(X) Original Patent Application.

( ) Continuing Application (prior application not abandoned):

- ( ) Continuation ( ) Continuation-in-part (CIP)  
 ( ) Divisional ( ) Request for Continuing Examination  
 of prior application No: \_\_\_\_\_ Filed on: \_\_\_\_\_  
 ( ) A statement claiming priority under 35 USC § 120 has been added to the specification.

## Enclosed are:

- (X) Specification; 25 Total Pages. (X) Drawing(s); 0 Total Sheets.  
 ( ) Oath or Declaration:  
 ( ) A Newly Executed Combined Declaration and Power of Attorney:  
     ( ) Signed. ( ) Unsigned. ( ) Partially Signed.  
 ( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  
     ( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the  
     oath or declaration is supplied, is considered as being part of the disclosure of the accompanying  
     application and is hereby incorporated herein by reference.  
     ( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).  
 ( ) Power of Attorney. (X) Return Receipt Postcard.  
 ( ) Associate Power of Attorney. (X) A Check in the amount of \$385.00 for the Filing Fee.  
 ( ) Preliminary Amendment. ( ) Information Disclosure Statement and Form PTO-1449.  
 ( ) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.  
 ( ) A Certified Copy of Priority Documents (if foreign priority is claimed).  
 (X) Applicant claims small entity status.  
 ( ) Other: \_\_\_\_\_

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	9	0	\$9.00	\$ 0.00
Independent Claims	1	0	\$43.00	\$ 0.00
Multiple Dependent Claims (if applicable)				\$0.00
Assignment Recording Fee				\$0.00
Basic Filing Fee				\$ 385.00
Total Filing Fee				\$ 385.00

Charge \$ \_\_\_\_\_ to Deposit Account 12-2250 pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any fees required or credit any overpayment to this Deposit Account.

Respectfully submitted,

By: Daniel A. Rosenberg  
 Daniel A. Rosenberg, Attorney of Record, Reg.  
 No. 44308

Date: April 15, 2004

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I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Commissioner for Patents  
 Box 1450  
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